



ESG Research Support



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Unlock Value



Plug gaps in material ESG data to **enhance assessment frameworks**



Facilitate **integration of ESG** into the investment research process



Actively **engage with companies** for issues related to ESG



Streamline client outreach for ESG strategy

50+

ESG Analysts with Sector Specialization



ESG Research Support

Our Support



ESG Frameworks

Benchmark Materiality Frameworks |
Plug Missing Data Gaps | Develop
ESG Evaluation Scorecards |
Develop ESG screening Tools



ESG Integration

ESG Due Diligence | ESG-based
news flags as part of portfolio
surveillance



ESG Engagement

Develop ESG Questionnaires for
company engagement | Support Proxy
Voting activities



ESG Client Outreach

Positive Impact Reports | Portfolio
Reports on ESG Performance |
Whitepapers on ESG themes

30+ Sectors Analyzed



ESG Research Support

Use Cases



ESG Frameworks

For a US-based asset manager (AM), we enhanced ESG data availability for its pharmaceutical portfolio based on the SASB materiality framework.



ESG Integration

For a global AM, we validated negative ESG screens for core European and Asian portfolios, and drafted periodic Enhanced Due Diligence reports.



ESG Engagement

For an European investment bank, we built a comprehensive ESG database of around 3,000 global stocks.



ESG Client Outreach

For a European bank, we undertook thematic research into the 'old boys network' in the composition of the corporate board.

250+ Tear-sheets

300+ ESG Due Diligence Assessments

Our Approach : Business Case I

Bridging data gaps in pharmaceuticals

Our impact

We plugged gaps in missing data to enhance insights with

- Disclosures of missing data for deeper relevance of the in-house scorecard
- Integration of a bespoke checklist to cover corporate governance aspects excluded in the SASB framework

The business need

A US-based AM required a comprehensive portfolio view of pharmaceuticals, with an assessment of ESG performance according to a reliable industry framework.

Our Solution

- Identified the SASB Materiality framework for the sector to assess sustainability topics that matter most to investors
- Mapped ESG data reported in human rights & community relations, access & affordability, product quality & safety, customer welfare, selling practices & product labelling, employees diversity & inclusion, supply chain management, business ethics
- Identified companies which reported disclosures (template & boiler-plate)
- Identified multiple data sources such as regulatory bodies, industry consortiums, NGOs and news agencies to plug gaps in data versus reported metrics
- Leveraged ML techniques to retrieve, cleanse and harmonize data; modelled this data in the ESG framework

Our Approach : Business Case II

Embedding ESG in Investment Research

Our impact

- We standardised the firm's approach to ESG research for their investment teams world wide
- Our enhanced due diligence facilitated the mitigation of ESG risks within client investment portfolios

The business need

A global AM required complete integration of ESG within its investment research process. Consequently, our analysts undertook an investment review with a deep dive into ESG issues.

Our Solution

- Our analysts underwent training in ESG research techniques and data sources
- We updated the client's in-house ESG scoring methodology
- With enhanced due-diligence – including investigating key ESG issues and evaluation criteria by ESG rating agencies – our analysts raised red flags in ESG ratings for portfolio companies
- Our team's ongoing ESG surveillance enables awareness of negative news developments
- The team additionally supports the client's Europe desk to adhere to French SRI funds labelling mandates

Operating Model: Output Based Engagement



Suitable for

Suitable for **bespoke** and **close-ended tasks**

Out-come oriented with pre-defined scope of work

Upfront commitment of **price per output**

Engagement

Finalise **scope of work**

Sign-off sample work and **finalise contract**

Launch in **1-2 weeks**

3-tiered governance for **active communication** and **strong control**

Advantage

Ability to **support fluctuation** in workflow

Pricing **excludes under-utilisation** during downtime

No impact on productivity due to staff turnover

Quality review and **seamless delivery**

Flexible operating model aligned with clients' research objectives

Our clients

We serve asset managers, wealth managers, hedge funds, private equity, insurance firms, and investment banks. Our clients are in the Americas, Europe, Asia, Africa, and the Middle East, and include the following:

15 of the top 20 global investment banks

55+ global buy-side firms, including private equity firms, hedge funds, asset managers, and wealth managers

3 of the top 15 global insurers

2 of the top 10 global consultants

50 Fortune 500 companies



About CRISIL

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Contact us

✉ SalesGR&A@crisil.com

☎ +91 22 4047 2547

Argentina | China | Hong Kong | **India** | Poland | Singapore | UAE | UK | USA
CRISIL Limited: CRISIL House, Central Avenue, Hiranandani Business Park, Powai, Mumbai – 400076. India
Phone: + 91 22 3342 3000 | Fax: + 91 22 3342 3001 | www.crisil.com

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